

# PRELIMINARY TAX STATEMENT FOR 1099-CONSOLIDATED FORMS



To assist those account holders who receive late tax information from issuers, Janney implemented the Preliminary Tax Form.

## **TYPES OF SECURITIES WHICH TRADITIONALLY REPORT LATE OR REALLOCATE THEIR INCOME OFTEN INCLUDE:**

- Certain Mutual Funds and Unit Investment Trusts (UITs)
- Real Estate Investment Trusts (REITs)
- Widely Held Fixed Investment Trusts (WHFITs)
- Collateralized Mortgage Obligations (CMOs) and Real Estate Mortgage Investment Conduits (REMICs)

If your account holds certain securities that haven't finalized their taxability by the time 1099 Consolidated Forms are produced, you'll be able to access a *preliminary* copy of your 1099 form online around February 15th.

## **FIND WHICH HOLDINGS DON'T YET HAVE FINALIZED TAX INFORMATION**

This Preliminary Tax Statement also includes a special page which denotes which of your account holdings don't have finalized taxability. Summary and detail pages of the Statement are watermarked to ensure account holders are aware they are still waiting on final tax information, so the Preliminary Statement should not be used to file completed tax returns. Those account holders who have a Preliminary Statement produced will still receive their official 1099-Consolidated Form in early March.



## **SIGN UP FOR ONLINE ACCESS TO VIEW FORMS ELECTRONICALLY**

The Preliminary Tax Statement is only provided online through Janney's secure Online Access client account website. Simply log on or sign up for access and elect to receive your Tax Forms electronically.

If you don't have Online Access, you'll receive a letter by mail, letting you know that a Preliminary Tax Statement is available for your account. You can then sign up for Online Access to view the form immediately if you wish.

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